# **Privacy Policy.**

# { Dolat Capital Market Pvt Ltd }

For the purpose of this Privacy Policy, wherever the context so requires the term "You" or "User" shall mean any natural or legal person including Online and offline clients and the term "We", "Us", "Our" shall mean Dolat Capital Market Pvt. Ltd (DCMPL)

We shall protect the personal details received from you with the same degree of care, but no less than a reasonable degree of care, to prevent the unauthorized use, dissemination, or publication of these information as we protect our own confidential information of a like nature. We shall use the personal information to improve our service to you and to keep you updated about our new product or information that may be of interest to you. The information collected from you would be used in the right spirit and context in which it is intended to be used. Your information would be used by us to process your trading request and to carry out the settlements of your obligations.

- 1. We will take best efforts to keep the website updated on a regular basis so as to provide most current information to Users including the investors. However, the investor agrees that because of communications issues, it is possible that the site may not be current on occasion. The Investor also agrees that the look and feel of the Web screen and outputs there from may differ based on the nature of the software used by the Investor to browse the Website.
- 2. We at DCMPL respect the privacy of everyone who uses this website and are committed to maintaining the privacy and security of the personal information of all users of this Website.
- 3. The User especially the investors agree and hereby gives irrevocable consent that the personal information collected from the website may be disclosed to
- (i) to the Registrar and transfer agents, Banks, Custodians, Depositories and /or authorised external third parties who are involved in transaction processing, dispatches etc.
- (ii) to the Distributors/ Referrer/ Brokers or other intermediaries engaged by Us
- (iii) to any governmental authority including but not limited to Securities and Exchange Board of India, Reserve Bank of India etc. and to any other third person as per their directions
- (iv) to other vendor/service provides engaged by Us for servicing their investors including but not limited to credit rating agencies, local and international courier services providers, post office etc.
- (v) to any person under the applicable laws
- 4. We have adopted reasonable security practices and procedures and have implemented such reasonable practices and safeguards which are appropriate to the sensitivity of your personal information on best efforts basis. However, despite our best efforts, if unauthorised persons breach our security control measures resulting on the website being hacked or presenting inaccurate information etc. DCMPL & their directors, officers, employees or affiliates' will not be responsible.

- 5. Please note that this policy may change from time to time. If DCMPL change its privacy policies and procedures, it will post those changes on this website to keep you/users aware of it. Changes to this Privacy Policy are effective when they are posted on this page. Please visit our website to keep yourself abreast of any changes to this Policy.
- 6. The information, material, and data provided on this Website is /are not advice, professional or otherwise, which may be verified by the person/investor and should not be relied upon as such. Users, Investors are advised to use their own judgment while using information provided on this Website for making any investment decision of products and or services. DCMPL will not be responsible for any losses, damages or any expenses of whatsoever nature arising out of the investment decision taken by any person by use of or relying on the information provided on this Website. The information herein is believed to be reliable, but DCMPL does not warrant its accuracy or truthfulness. The contents of research reports, articles and the interpretation of data are solely the personal views of the contributors and the same may not be treated as the views of DCMPL.
- 7. DCMPL specifically prohibits you from usage of any of its services in any countries or jurisdictions that do not corroborate to all stipulations of these terms and conditions. You agree that disputes if any arising as a result of this agreement or out of the usage of the services offered by DCMPL are subject to the jurisdiction of courts in Mumbai, India.
- 8. The content provided by any Third Party service provider is exclusive of any warranty whatsoever, including satisfactory quality fitness for a particular purpose, originality and non-infringement, and all warranties arising out of course of performance, course of dealing and usage of trade or their equivalents under the laws of any jurisdiction, the Third Party service provider's provisions of content shall not be deemed to be investment management or advisory services.

#### **Personal Information**

Such information can be personal and sensitive in nature as per the indicative list given below:

- (i) Bank Account details change of bank account details or registration of multiple bank accounts etc. through application forms.
- (ii) Permanent Account Number and Aadhar details from the registered KRA only with the instruction and awareness of such act to and from the client.
- (iii) Payment instrument details at the time of submitting application at the time of investment or as proof for carrying out change of bank account or any other details of debit card obtained (if any)
- (iv) Generation and storing password (PIN) in encrypted form based on the request from the investor under the online mode of investments
- (v) Biometric information obtained, if any.
- (vi) Demat Account details like beneficiary account no. etc.
- (vii) Annual Income and savings profile; and

## (viii) Details mentioned in Risk profiling of Clients

# Use, Sharing and Disclosure of Personal Information

Your contact information (emails, postal address, phone numbers etc.) may be used by us to send periodic information (through emails, posts, SMSs, couriers, telephone etc.) on the services or upcoming events or announcements. We do not share the above details to any third party which is not relevant in the processing of the business between the Company and the Client.

We shall reveal only such information to external authorities as may be found relevant and necessary in order to comply with the applicable laws of the land and to third party service providers to ensure smooth functioning of the activities as may be prescribed under applicable laws like Registrar and Transfer Agents/distributors/banks/sub-brokers/call-centers/custodians/depositories and such other entities/persons. By using our website or by agreeing to transact with us, you agree to the above sharing of information during your relationship with us. We may also be required from time to time to disclose investors / customers / clients' personal information to governmental or judicial bodies or agencies or our regulators including but not limited to Reserve Bank of India or the Securities and Exchange Board of India

We may share your investment account and transaction details and other Personal Information with the intermediaries, whose ARN / intermediary code stamp appears on the application forms / transaction slips.

We may share certain Personal Information with third parties such as post office, local and international couriers and other intermediaries for correspondence with the investor and for making payments to the investor by cheques, drafts, warrants, through Electronic Clearing Services (ECS), NEFT etc.

Personal Information will not be transferred to the aforesaid third parties, unless reasonable and appropriate steps have been taken to maintain the required level of data protection. Personal Information shall be communicated to third persons only for reasons consistent with the purposes for which the data were originally collected or other purposes authorized by law.

Further, such information may also be used by us to generate statistics and reports and/or to protect and defend the rights, interest and property of the Dolat Group.

Further, it may be noted that Internet Protocol (IP) addresses, browser supplied information and site session information may be recorded as part of the normal operations and for security monitoring purposes.

### **Breach of Internet Security**

Despite efforts of DCMPL, if any unauthorized persons breach our security control measures resulting in the Site being hacked or presenting inaccurate information or such person illegally using such sensitive/ personal data or information etc. any of the group companies of Dolat, Sponsor and its affiliates and their directors, officers, employees will not be responsible/ liable.

Unfortunately, the transmission of information via the internet is not completely secure. Although we will do our best to protect your personal data, we cannot guarantee the security of data transmitted to our websites and any transmission is at your own risk.

### Third Party Selling-

We will not lend or sell your information to third parties at any given point of time and Personal data about other people which you provide to us.

If you provide personal data to us about someone else (such as one of your directors or employees, or someone with whom you have business dealings) you must ensure that you are entitled to disclose that personal data to us and that, without our taking any further steps, we may collect, use and disclose that personal data as described in this Privacy Notice. In particular, you must ensure the individual concerned is aware of the various matters detailed in this Privacy Notice, as those matters relate to that individual, including our identity, how to contact us, our purposes of collection, our personal data disclosure practices (including disclosure to overseas recipients), the individual's right to obtain access to the personal data and make complaints about the handling of the personal data, and the consequences if the personal data is not provided (such as our inability to provide services).

#### **Grievance redressal**

If investors / customers / clients have any concerns relating to their Personal Information / personal and sensitive information and / or require any sharing / rectification / review / access to the same, they may be addressed to our Investor Relations Officer as per the details below.

Name: Sandeep Bhowad

Contact no: